



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yasuhiro UEKI

Serial No. 10/785,179

Filed: February 25, 2004

For: METHOD AND APPARATUS  
FOR RECORDING AND  
REPRODUCING INFORMATION  
ON AND FROM OPTICAL DISC

Art Unit:

Examiner:

Atty Docket: 0102/0247

**INFORMATION DISCLOSURE STATEMENT**  
**FILED PURSUANT TO 37 CFR 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the attached Form PTO-1449 listing references for consideration by the Examiner in charge of the above-identified patent application. All foreign art and/or publications listed are attached herewith for the examiner's consideration. The examiner is respectfully requested to contact the undersigned if copies of U.S. patents and published applications are needed.

These documents are being submitted:

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

☐ concurrently with the filing of the RCE (37 CFR 1.114)

OR

☐ following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR §1.97(d)

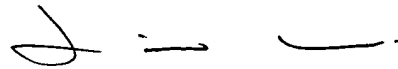
☐ The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))

☒ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the European Patent Office in a counterpart application. (37 CFR §1.97(e)(1))  
[Note references EP 0737969, EP 0762399 and WO 98/50912 cited in the EP Search Report were previously submitted to the US PTO on February 25, 2004. ] The EP Search Report and Agent's letter are attached.

☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

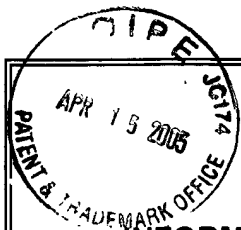
Respectfully submitted,



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Date: April 15, 2005



FORM PTO-1449

**INFORMATION DISCLOSURE CITATION**Atty Docket  
0102/0247Serial No.  
10/785,179Applicant  
Yasuhiro UEKIFiling Date  
February 25, 2004

Group Art Unit

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	5,790,491	08/04/98	Jaquette et al.			04/08/96
	AB	5,502,702	03/26/96	Nakajo			08/05/94
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country/Applicant	Class	Sub-Class	Translation
	AL						
	AM						
	AN						
	AO						
	AP						

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR		
	AS		
	AT		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
 Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to Applicant.